

# FROBENIUS-SCHUR INDICATORS OF CHARACTERS IN BLOCKS WITH CYCLIC DEFECT

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ABSTRACT. Let  $p$  be an odd prime and let  $B$  be a  $p$ -block of a finite group which has cyclic defect groups. We show that all exceptional characters in  $B$  have the same Frobenius-Schur indicators. Moreover the common indicator can be computed, using the canonical character of  $B$ . We also investigate the Frobenius-Schur indicators of the non-exceptional characters in  $B$ .

For a finite group which has cyclic Sylow  $p$ -subgroups, we show that the number of irreducible characters with Frobenius-Schur indicator  $-1$  is greater than or equal to the number of conjugacy classes of weakly real  $p$ -elements in  $G$ .

## 1. INTRODUCTION AND PRELIMINARY RESULTS

The Frobenius-Schur (F-S) indicator of an ordinary character  $\chi$  of a finite group  $G$  is

$$\epsilon(\chi) := \frac{1}{|G|} \sum_{g \in G} \chi(g^2).$$

If  $\chi$  is irreducible then  $\epsilon(\chi) = 0, \pm 1$ . Moreover  $\epsilon(\chi) \neq 0$  if and only if  $\chi$  is real-valued.

R. Brauer showed how to partition the irreducible characters of  $G$  into  $p$ -blocks, for each prime  $p$ . Each  $p$ -block has an associated defect group, which is a  $p$ -subgroup of  $G$ , unique up to  $G$ -conjugacy, which determines much of the structure of the block. If the defect group is trivial, the block contains a unique irreducible character. In the next most complicated case, E. Dade [D] determined the structure of a block which has a cyclic defect group and defined the Brauer tree of the block.

Recall that a  $p$ -block is said to be real if it contains the complex conjugates of its characters. We wish to determine the F-S indicators of the irreducible characters in a real  $p$ -block which has a cyclic defect group. In [M2, Theorem 1.6] we dealt with the case  $p = 2$ ; there are six possible indicator patterns, and the *extended* defect group of the block determines which occurs. In this paper we consider the case  $p \neq 2$ .

R. Gow showed [G, 5.1] that a real  $p$ -block has a real irreducible character, if  $p = 2$ . This is false for  $p \neq 2$ , as was first noticed by H. Blau in the early 1980's, in response to a question posed by Gow. His example was for  $p = 5$  and  $G = 6.S_6$  (*Atlas* notation). G. Navarro has recently found a solvable example with  $p = 3$  and  $G = \text{SmallGroup}(144, 131)$  (*GAP* notation). We give examples for blocks with cyclic defect below.

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Now let  $B$  be a real  $p$ -block which has a cyclic defect group  $D$ . The inertial index of  $B$  is a certain divisor  $e$  of  $p - 1$ . Dade showed that  $B$  has  $e$  irreducible Brauer characters and  $e + \frac{|D|-1}{e}$  ordinary irreducible characters. The latter he divided into  $\frac{|D|-1}{e}$  exceptional characters and  $e$  non-exceptional characters.

Suppose that  $\frac{|D|-1}{e} = 1$  (which can only occur when  $|D| = p$ ). Then the choice of exceptional character is arbitrary, and the convention in [F] is to regard  $B$  as having no exceptional characters. However, we will see that in this event  $B$  has real irreducible characters, all of which have the same F-S indicators. So our convention is to assume that  $B$  has a real exceptional character.

The Brauer tree of  $B$  is a planar graph which describes the decomposition matrix of  $B$ . There is one exceptional vertex, representating all the exceptional characters, and one vertex for each of the non-exceptional characters. Two vertices are connected by an edge if their characters share a modular constituent.

J. Green [Gr] showed that all real objects in the Brauer tree lie on a line segment, now called the real-stem of  $B$ . The exceptional vertex belongs to the real-stem (see Lemma 6 below). So it divides the real non-exceptional vertices into two, possibly empty, subsets. We find it convenient to refer to the corresponding real non-exceptional characters as being on the left or the right of the exceptional vertex. Here is our main theorem:

**Theorem 1.** *Let  $p$  be an odd prime and let  $B$  be a real  $p$ -block which has a cyclic defect group. Then*

- (i) *All exceptional characters in  $B$  have the same F-S indicators.*
- (ii) *On each side of the exceptional vertex, the real non-exceptional characters have the same F-S indicators.*
- (iii) *If  $B$  has a real exceptional character then all real irreducible characters in  $B$  have the same F-S indicators.*
- (iv) *Suppose that  $B$  has no real exceptional characters, and that there are an odd number of non-exceptional vertices on each side of the exceptional vertex. Then the real non-exceptional characters have F-S indicator  $+1$  on one side of the exceptional vertex and  $-1$  on the other side.*

Note that (i) is not a consequence of Galois conjugacy, as there are at least two Galois conjugacy classes of exceptional characters, when  $|D| > p$ .

In Proposition 15 we show that the F-S indicators of the exceptional characters in  $B$  agree with those of the Brauer corresponding block in the normalizer of a defect group. In Theorem 16 we compute this common indicator using the ‘canonical character’ of  $B$ .

Next recall that an element of  $G$  is said to be weakly real if it is conjugate to its inverse in  $G$ , but it is not inverted by any involution in  $G$ . Here is an application of Theorem 1 whose statement does not refer to blocks or to modular representation theory:

**Theorem 2.** *Let  $p$  be an odd prime and let  $G$  be a finite group which has cyclic Sylow  $p$ -subgroups. Then the number of irreducible characters of  $G$  with F-S indicator  $-1$  is greater than or equal to the number of conjugacy classes of weakly real  $p$ -elements in  $G$ .*

We use the notation and results of [NT] for group representation theory, and use [D] and [F, VII] for notation specific to blocks with cyclic defect. When referring to the character tables of a finite simple group we use the conventions of the *ATLAS* [A]. For other character tables, we use the notation of the computer algebra system *GAP* [GAP].

2. EXAMPLES

We begin with a number of examples which illustrate the possible patterns of F-S indicators in a block which has a cyclic defect group. Throughout  $G$  is a finite group and  $B$  is a real  $p$ -block of  $G$  which has a cyclic defect group  $D$ . Also  $N_0$  is the normalizer in  $G$  of the unique order  $p$  subgroup of  $D$  and  $B_0$  is the Brauer correspondent of  $B$  in  $N_0$ .

**Example 1:** There are many blocks with cyclic defect group whose irreducible characters all have the same F-S indicators. For blocks with all indicators  $+1$ , choose  $n \geq 2$ , a prime  $p$  with  $n/2 \leq p \leq n$  and any  $p$ -block of the symmetric group  $S_n$ . There are numerous blocks with all indicators  $-1$  among the faithful  $p$ -blocks of the double cover  $2.A_n$  of an alternating group, with  $n/2 \leq p \leq n$  e.g. the four faithful irreducible characters of  $2.A_5$  have F-S indicator  $-1$  and constitute a 5-block with a cyclic defect group.

**Example 2:** If  $e$  is odd then  $B$  has a real non-exceptional character. Now it follows from [D, Part 2 of Theorem 1 & Corollary 1.9] that  $B$  has a Galois conjugacy class consisting of  $\frac{p-1}{e}$  exceptional characters. So  $B$  has a real exceptional character if  $\frac{p-1}{e}$  is odd. Thus  $B$  always has a real irreducible character if  $p \equiv 3 \pmod{4}$ .

When  $e$  is even and  $p \equiv 1 \pmod{4}$ ,  $B$  may have no real irreducible characters. For example  $\text{SmallGroup}(80, 29) = \langle a, b \mid a^{20}, a^{10} = b^4, a^b = a^7 \rangle$  has such a block, for  $p = 5$ . It consists of the four irreducible characters lying over the non-trivial irreducible character of  $\langle a^{10} \rangle$ . Here is its character table. The first two rows indicate the 2 and 5 parts of the class centralizers. The third row labels the classes by their element orders:

	2	4	4	3	3	4	4	2	3	3	3	3	2	2	2
	5	1	1	.	1	.	.	1	.	.	.	.	1	1	1
		$1a$	$2a$	$2b$	$4a$	$4b$	$4c$	$5a$	$8a$	$8b$	$8c$	$8d$	$10a$	$20a$	$20b$
X.9		2	-2	.	.	$2i$	$-2i$	2	.	.	.	.	-2	.	.
X.10		2	-2	.	.	$-2i$	$2i$	2	.	.	.	.	-2	.	.
X.13		4	-4	.	.	.	.	-1	.	.	.	.	1	$\sqrt{-5}$	$-\sqrt{-5}$
X.14		4	-4	.	.	.	.	-1	.	.	.	.	1	$-\sqrt{-5}$	$\sqrt{-5}$

Note that  $\text{SmallGroup}(80, 29)$  has Sylow 2-subgroups isomorphic to  $\text{SmallGroup}(16, 6) = \langle s, t \mid s^8, t^2, s^t = s^5 \rangle$ . This 2-group is sometimes denoted  $M_4(2)$ .

**Example 3:**  $B$  may have a real non-exceptional character but no real exceptional characters. For example  $\text{SmallGroup}(60, 7) = \langle a, b \mid a^{15}, b^4, a^b = a^2 \rangle$  has such a block, for  $p = 5$ . It consists of the four irreducible characters lying over a non-trivial irreducible

character of  $\langle a^5 \rangle$ . This is also an example of part (iv) of Theorem 1; the non-exceptional characters  $X.5$  and  $X.6$  have F-S indicators  $-1$  and  $+1$ , respectively. Here is the table of character values, with  $\alpha = (1 + \sqrt{-15})/2$ :

	2	2	2	1	2	2	.	1	.	.
	3	1	1	1	.	.	1	1	1	1
	5	1	.	1	.	.	1	.	1	1
	1a	2a	3a	4a	4b	5a	6a	15a	15b	
$X.5$	2	-2	-1	.	.	2	1	-1	-1	
$X.6$	2	2	-1	.	.	2	-1	-1	-1	
$X.8$	4	.	-2	.	.	-1	.	$\alpha$	$\bar{\alpha}$	
$X.9$	4	.	-2	.	.	-1	.	$\bar{\alpha}$	$\alpha$	

**Example 4:** There is no apparent relationship between the F-S indicators of the non-exceptional characters in  $B$  and in  $B_0$ . For example, let  $B$  be the 5-block  $2.A_8$  with  $\text{Irr}(B) = \{\chi_{15}, \chi_{19}, \chi_{21}, \chi_{22}\}$ . Then the two non-exceptional characters  $\chi_{15}$  and  $\chi_{19}$  have F-S indicator  $+1$  and  $-1$ , respectively. However  $B_0$  is a real block which has no real irreducible characters.

The character table of  $B$  can be found on p22 of *The Atlas*. Now  $N_0$  is isomorphic to  $\text{SmallGroup}(120, 7) = \langle a, b \mid a^{15}, b^8, a^b = a^2 \rangle$ . Here is the table of character values of its 5-block  $B_0$ . Again  $\alpha = (1 + \sqrt{-15})/2$ . In order to save space, we have omitted 4 columns of zero values for the four classes of elements of order 8:

	2	3	3	2	3	3	1	2	1	2	2	1	1	1	1
	3	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	5	1	1	1	.	.	1	1	1	.	.	1	1	1	1
	1a	2a	3a	4a	4b	5a	6a	10a	12a	12b	15a	15b	30a	30b	
$X.11$	2	-2	-1	$2i$	$-2i$	2	1	-2	$-i$	$i$	-1	-1	1	1	
$X.12$	2	-2	-1	$-2i$	$2i$	2	1	-2	$i$	$-i$	-1	-1	1	1	
$X.15$	4	-4	-2	.	.	-1	2	1	.	.	$\alpha$	$\bar{\alpha}$	$-\alpha$	$-\bar{\alpha}$	
$X.16$	4	-4	-2	.	.	-1	2	1	.	.	$\bar{\alpha}$	$\alpha$	$-\bar{\alpha}$	$-\alpha$	

We note that  $B$  has 2 irreducible modules and 2 weights, in conformity with Alperin's weight conjecture [Al]. However the irreducible modules are self-dual and the weights are duals of each other. This shows that there is no obvious 'real' version of the weight conjecture for  $p$ -blocks, when  $p \neq 2$ .

Consider the inclusion of groups  $N_0 < \text{PSL}_2(11) < M_{11}$ , where  $N_0 \cong 11:5$ . The principal 11-blocks each have 5 non-exceptional characters. It is somewhat surprising that the number of real non-exceptional characters in these blocks is 1, 5 and 3, respectively.

**Example 5:** Finally  $B$  may have a real exceptional character but no real non-exceptional characters. For example let  $B$  be the 5-block containing the four faithful irreducible characters of  $\text{SmallGroup}(20, 1) = \langle a, b \mid a^5, b^4, a^b = a^{-1} \rangle$ . The two exceptional characters have F-S indicators  $-1$ , but neither of the two non-exceptional characters is real. Here is the character table of  $B$ , with  $\beta = (-1 + \sqrt{5})/2$  and  $*\beta = (-1 - \sqrt{5})/2$ :

	2	2	2	2	2	1	1	1	1
	5	1	1	.	.	1	1	1	1
	$1a$	$2a$	$4a$	$4b$	$5a$	$5b$	$10a$	$10b$	
$X.3$	1	-1	$i$	$-i$	1	1	-1	-1	
$X.4$	1	-1	$-i$	$i$	1	1	-1	-1	
$X.5$	2	-2	.	.	$\beta$	$*\beta$	$-\beta$	$-\beta$	
$X.6$	2	-2	.	.	$*\beta$	$\beta$	$-\beta$	$-\beta$	

### 3. MISCELLANEOUS RESULTS

We need general results from representation theory, some of which are not so well-known. So in this section  $p$  is a prime and  $B$  is a  $p$ -block of a finite group  $G$ .

Let  $\chi$  be an irreducible character in  $B$ , let  $x$  be a  $p$ -element of  $G$  and let  $y$  be a  $p$ -regular element of  $C_G(x)$ . Then

$$\chi(xy) = \sum_{\varphi} d_{\chi, \varphi}^{(x)} \varphi(y),$$

where  $\varphi$  ranges over the irreducible Brauer characters in blocks of  $C_G(x)$  which Brauer induce to  $B$ , and each  $d_{\chi, \varphi}^{(x)}$  is an algebraic integer, called a generalized decomposition number; if  $x = 1$ ,  $\varphi$  is an irreducible Brauer character in  $B$  and  $d_{\chi, \varphi}^{(x)}$  is simplified to  $d_{\chi, \varphi}$ . It is an integer called an ordinary decomposition number of  $B$ .

Brauer [B, Theorem (4A)] used his Second Main Theorem to prove the following remarkable ‘local-to-global’ formula for F-S indicators:

$$(1) \quad \sum_{\chi} \epsilon(\chi) d_{\chi, \varphi}^{(x)} = \sum_{\psi} \epsilon(\psi) d_{\psi, \varphi}^{(x)},$$

where  $\chi$  ranges over the irreducible characters in  $B$  and  $\psi$  ranges over the irreducible characters in blocks of  $C_G(x)$  which Brauer induce to  $B$ . We have previously used this formula to determine the F-S indicators of the irreducible characters in 2-blocks with a cyclic, Klein-four or dihedral defect group.

Our next result relies on Clifford theory. However it was inspired by (and can be proved using) the notion of a weakly real 2-block, as introduced in [M1]. Suppose that  $N$  is a normal subgroup of  $G$  and  $\phi \in \text{Irr}(N)$ , with stabilizer  $G_{\phi}$  in  $G$ . If  $G_{\phi} \subseteq H \subseteq G$ , the Clifford correspondence is a bijection  $\text{Irr}(G \mid \phi) \leftrightarrow \text{Irr}(H \mid \phi)$  such that  $\chi \leftrightarrow \psi$  if and only if  $\langle \chi \downarrow_H, \phi \rangle \neq 0$  or  $\chi = \psi \uparrow^G$ . The stabilizer of  $\{\phi, \bar{\phi}\}$  in  $G$  is called the extended stabilizer of  $\phi$ , here denoted by  $G_{\phi}^*$ . So  $|G_{\phi}^* : G_{\phi}| \leq 2$ , with equality if and only if  $\phi \neq \bar{\phi}$  but  $\phi$

is  $G$ -conjugate to  $\bar{\phi}$ . If  $G_\phi^* \subseteq H$  it is easy to see that  $\chi$  is real if and only if  $\psi$  is real. Moreover in this case  $\epsilon(\chi) = \epsilon(\psi)$ .

We need one other idea. Suppose that  $T$  is a degree 2 extension of  $G$ . Then the *Gow indicator* [G, 2.1] of a character  $\chi$  of  $G$  with respect to  $T$  is defined to be

$$\epsilon_{T/G}(\chi) := \frac{1}{|G|} \sum_{t \in T \setminus G} \chi(t^2).$$

Clearly  $\epsilon(\chi \uparrow^T) = \epsilon(\chi) + \epsilon_{T/G}(\chi)$ . Just like the F-S indicator,  $\epsilon_{T/G}(\chi) = 0, \pm 1$ , for each  $\chi \in \text{Irr}(G)$ . Moreover  $\epsilon_{T/G}(\chi) \neq 0$  if and only if  $\chi$  is  $T$ -conjugate to  $\bar{\chi}$ .

**Lemma 3.** *Let  $N$  be a normal odd order subgroup of  $G$  and let  $\phi \in \text{Irr}(N)$ . Suppose that  $G_\phi^*$  does not split over  $G_\phi$ . Then there exists  $\chi \in \text{Irr}(G \mid \phi)$  such that  $\epsilon(\chi) = -1$ .*

*Proof.* We first show that there exists  $\psi \in \text{Irr}(G \mid \phi)$  such that  $\epsilon(\psi) = +1$ . For let  $S$  be a Sylow 2-subgroup of  $G$ . As  $\phi \uparrow^G$  vanishes on the 2-singular elements of  $G$ , we have  $(\phi \uparrow^G) \downarrow_S = \frac{\phi(1)|G|}{|N||S|} \rho_S$ , where  $\rho_S$  is the regular character of  $S$ . Now  $\frac{\phi(1)|G|}{|N||S|}$  is an odd integer. So  $\langle (\phi \uparrow^G) \downarrow_S, 1_S \rangle$  is odd. Moreover  $\phi \uparrow^G$  is a real character of  $G$ . So  $\langle (\phi \uparrow^G), \psi \rangle = \langle (\phi \uparrow^G), \bar{\chi} \rangle$ , for each  $\psi \in \text{Irr}(G)$ . Pairing each irreducible character of  $G$  with its complex conjugate, we see that there exists a real-valued  $\psi \in \text{Irr}(G \mid \phi)$  such that  $\langle \psi \downarrow_S, 1_S \rangle$  is odd. Then  $\epsilon(\psi) = \epsilon(1_S) = +1$ .

Following the discussion before the lemma, we may assume that  $G = G_\phi^*$ . So  $|G : G_\phi| = 2$ . Next suppose that  $g \in G$  and  $\phi \uparrow^{G_\phi}(g^2) \neq 0$ . Write  $g = xy = yx$ , where  $x$  is a 2-element and  $y$  is a 2-regular element. Then  $g^2 = x^2y^2$ . As  $\phi \uparrow^{G_\phi}$  vanishes off  $N$ , we have  $x^2 = 1$  and  $y^2 \in N$ . So  $x \in G_\phi$ , as  $G_\phi$  contains all involutions in  $G$ . Moreover  $y \in N$ , as  $y$  has odd order. Thus  $g \in G_\phi$ , whence

$$\epsilon_{G/G_\phi}(\phi \uparrow^{G_\phi}) = \frac{1}{|G_\phi|} \sum_{g \in G \setminus G_\phi} \phi \uparrow^{G_\phi}(g^2) = 0.$$

Now  $\text{Irr}(G_\phi \mid \phi)$  contains no real characters, as  $\phi \neq \bar{\phi}$ . So  $\epsilon(\phi \uparrow^G) = \epsilon_{G/G_\phi}(\phi \uparrow^{G_\phi}) + \epsilon(\phi \uparrow^{G_\phi}) = 0$ . Equivalently

$$\sum_{\chi \in \text{Irr}(G)} \langle \phi \uparrow^G, \chi \rangle \epsilon(\chi) = 0.$$

Together with the fact that  $\langle \phi \uparrow^G, \psi \rangle \epsilon(\psi) > 0$ , this implies that  $\langle \phi \uparrow^G, \chi \rangle \epsilon(\chi) < 0$ , for some  $\chi \in \text{Irr}(G)$ . Thus  $\chi \in \text{Irr}(G \mid \phi)$  and  $\epsilon(\chi) = -1$ , which completes the proof.  $\square$

It is well-known that each  $G$ -invariant irreducible character of a normal subgroup of  $G$  extends to  $G$ , when the quotient group is cyclic.

**Lemma 4.** *Suppose that  $N$  is a normal subgroup of  $G$  such that  $G/N$  is cyclic and of even order. Let  $\varphi \in \text{Irr}(N)$  be real and  $G$ -invariant. Then  $\varphi$  has a real extension to  $G$  if and only if  $\varphi$  has a real extension to  $T$ , where  $N \subset T \subseteq G$  and  $T/N$  has order 2.*

*Proof.* The ‘only if’ part is obvious. So assume that  $\varphi$  has a real extension to  $T$ . Then both extensions of  $\varphi$  to  $T$  are real. Let  $\omega$  be a generator of the abelian group  $\text{Irr}(G/N)$  and let  $\chi$  be any extension of  $\varphi$  to  $G$ . Then  $\omega^i\chi$ ,  $i \geq 0$  give all extensions of  $\varphi$  to  $G$ . Here  $\omega^i = \omega^j$  if and only if  $i \equiv j \pmod{|G/N|}$ .

As  $\bar{\chi}$  lies over  $\varphi$ , we have  $\bar{\chi} = \omega^i\chi$ , for some  $i \geq 0$ . Now  $\chi\downarrow_T$  is an extension of  $\varphi$  to  $T$  and  $\bar{\chi}\downarrow_T = (\omega^i\downarrow_T)(\chi\downarrow_T)$ . As  $\chi\downarrow_T$  is real, it follows that  $\omega^i\downarrow_T$  is trivial. So  $T \subseteq \ker(\omega^i)$ , whence  $i \equiv 2j \pmod{|G/N|}$ , for some  $j \geq 0$ . Now  $\overline{\omega^j\chi} = \omega^{i-j}\chi = \omega^j\chi$ . So  $\omega^j\chi$  is a real extension of  $\varphi$  to  $G$ .  $\square$

Notice that in this context  $\varphi$  has a real extension to  $T$  if and only if  $\epsilon(\varphi) = \epsilon_{T/N}(\varphi)$ . When  $G/N$  has even order, but is not cyclic, and  $\varphi$  is a real irreducible character of  $N$  which extends to  $G$ , it is not clear whether there is a sensible sufficient criteria for  $\varphi$  to have a real extension to  $G$ .

Finally we need the following consequence of the first orthogonality relation:

**Lemma 5.** *Let  $W \subseteq X \subseteq Y$  be finite abelian groups. Then for  $\lambda \in \text{Irr}(Y)$  we have*

$$\sum_{x \in X \setminus W} \lambda(x) = \begin{cases} |X| - |W|, & \text{if } X \subseteq \ker(\lambda). \\ -|W|, & \text{if } W \subseteq \ker(\lambda) \text{ but } X \not\subseteq \ker(\lambda). \\ 0, & \text{if } W \not\subseteq \ker(\lambda). \end{cases}$$

#### 4. THE BRAUER TREE AND ITS REAL-STEM

From now on  $G$  is a finite group,  $p$  is an odd prime and  $B$  is a real  $p$ -block of  $G$  which has a cyclic defect group. To avoid trivialities we assume that the defect group is non-trivial.

Dade asserts [D, Theorem 1, Part 2] that each decomposition number in  $B$  is either 0 or 1. The Brauer tree of  $B$  is a planar graph with edges labelled by the irreducible Brauer character in  $B$  and with vertices labelled by the irreducible characters in  $B$  (the exceptional characters in  $B$  label a single ‘exceptional’ vertex). The edge labelled by an irreducible Brauer character  $\theta$  meets the vertex labelled by an irreducible character  $\chi$  if and only if the decomposition number  $d_{\chi,\theta}$  is not 0.

When  $B$  is real, complex conjugation acts on the Brauer tree of  $B$ , and in particular fixes the exceptional vertex. However, as we have seen in Examples 2,3 and 4 above,  $B$  may have no real exceptional characters. So we restate [F, VII,9.2] in the following more precise fashion:

**Lemma 6.** *The subgraph of the Brauer tree of  $B$  consisting of the exceptional vertex and those vertices and edges which correspond to real characters and Brauer characters is a straight line segment.*

Feit calls this line segment the real-stem of  $B$ . An easy consequence is:

**Corollary 7.** *The number of real non-exceptional characters in  $B$  equals the number of real irreducible Brauer characters in  $B$ .*

*Proof.* Suppose that  $B$  has  $r$  real irreducible Brauer characters. Then the real-stem of the Brauer tree has  $r$  edges and  $r + 1$  vertices. One of these is the exceptional vertex. So  $B$  has  $r$  real non-exceptional characters.  $\square$

Let  $\theta$  be a real irreducible  $p$ -Brauer character of a finite group  $G$ . As  $p$  is odd, the  $G$ -representation space of  $\theta$  affords a non-degenerate  $G$ -invariant bilinear form which is either symmetric or skew-symmetric. Given the symmetry groups of such forms, we refer to  $\theta$  as being of orthogonal or symplectic type. Thompson and Willems [W, 2.8] proved that there is a real irreducible character  $\chi$  of  $G$  such that  $d_{\chi, \theta}$  is odd. Moreover  $\theta$  has orthogonal type if  $\epsilon(\chi) = +1$  or symplectic type if  $\epsilon(\chi) = -1$ . This implies that  $\epsilon(\psi) = \epsilon(\chi)$ , for all real irreducible characters  $\psi$  such that  $d_{\psi, \theta}$  is odd.

*Proof of part (ii) of Theorem 1.* Let  $X$  and  $Y$  be real non-exceptional characters which lie on the same side of the exceptional vertex in the real-stem of  $B$ . Then by Lemma 6 there is a sequence  $X = X_0, X_1, \dots, X_n = Y$  of real non-exceptional characters and a sequence  $\theta_1, \dots, \theta_n$  of real irreducible Brauer characters such that  $d_{X_{i-1}, \theta_i} = 1 = d_{X_i, \theta_i}$ , for  $i = 1, \dots, n$ . The Thompson-Willems result implies that  $\epsilon(X_{i-1}) = \epsilon(X_i)$ , for  $i = 1, \dots, n$ . So  $\epsilon(X) = \epsilon(Y)$ . This gives part (ii) of Theorem 1.  $\square$

A similar argument gives the following weak form of parts (i) and (iii) of Theorem 1:

**Lemma 8.** *If  $B$  has a real exceptional character and a real non-exceptional character, then all real irreducible characters in  $B$  have the same F-S indicators.*

Notice that if  $B$  is the principal  $p$ -block of a group with a cyclic Sylow  $p$ -subgroup, and  $B$  has an irreducible character with F-S indicator  $-1$  (e.g. the principal 7-block of  $U(3, 3)$ ) then the lemma implies that  $B$  has no real exceptional characters.

## 5. THE EXCEPTIONAL CHARACTERS

We outline some results from [D] using the language of subpairs. See [NT, Chapter 5.9] for a full description of the theory. We then prove results about the local blocks in  $B$ , in Proposition 10, and the exceptional characters in  $B$ , in Proposition 11. This allows us to prove parts (i), (iii) and (iv) of Theorem 1.

Recall that  $B$  is a  $p$ -block with a non-trivial cyclic defect group  $D$ . Write  $|D| = p^a$ , where  $a > 0$ , and let  $1 \subset D_{a-1} \subset D_{a-2} \subset \dots \subset D_1 \subset D_0 = D$  be the complete list of subgroups of  $D$ . So  $[D : D_i] = p^i$ , for  $i = 0, \dots, a-1$ . Set  $C_i = C_G(D_i)$  and  $N_i = N_G(D_i)$ . So  $C_0 \subseteq C_1 \subseteq \dots \subseteq C_{a-1}$ , and  $N_0 \subseteq N_1 \subseteq \dots \subseteq N_{a-1}$ .

As  $p$  is odd,  $\text{Aut}(D_i)$  is a cyclic group of order  $p^{a-i-1}(p-1)$ . So  $N_i/C_i$  is a cyclic group whose order divides  $p^{a-i-1}(p-1)$ . Moreover the centralizer of  $D_i$  in  $\text{Aut}(D)$  has order  $p^i$ . So  $C_i \cap N_0/C_0$  is a cyclic  $p$ -group. We note that the unique involution in  $\text{Aut}(D)$  inverts every element of  $D$ .

Fix a Sylow  $B$ -subpair  $(D, b_0)$ . So  $b_0$  is a  $p$ -block of  $C_0$  such that  $b_0^G = B$  and the pair  $(D, b_0)$  is uniquely determined up to  $G$ -conjugacy. Set  $b_i := b_0^{C_i}$ , for  $i = 1, \dots, a-1$ . Then

by [NT, 5.9.3] the lattice of  $B$ -subpairs contained in  $(D, b_0)$  is

$$(2) \quad (1, B) \subset (D_{a-1}, b_{a-1}) \subset \cdots \subset (D_1, b_1) \subset (D, b_0).$$

Set  $E := N(D, b_0)$ , the stabilizer of  $b_0$  in  $N_0$ . Then  $e := |E : C_0|$  is called the inertial index of  $B$ . Now  $p \nmid e$ , by Brauer's extended first main theorem. So  $e \mid (p-1)$ . Let  $x \in E$ . Then  $D_i^x = D_i$ . As  $(D_i, b_i), (D_i, b_i^x) \subseteq (D, b_0)$ , it follows from (2) that  $b_i^x = b_i$ . So  $EC_i \subseteq N(D_i, b_i)$ . Conversely let  $n \in N(D_i, b_i)$ . As  $(D, b_0)$  and  $(D, b_0)^n$  are Sylow  $b_i$ -subpairs (in the group  $C_i$ ), there is  $c \in C_i$  such that  $nc_i \in E$ . This shows that  $N(D_i, b_i) \subseteq EC_i$ . This recovers Dade's observation that  $N(D_i, b_i) = EC_i$ .

Now  $E \cap C_i/C_0$  is a subgroup of  $C_i \cap N_0/C_0$  and a quotient of the group  $E/C_0$ . As  $C_i \cap N_0/C_0$  is a  $p$ -group and  $E/C_0$  has  $p'$ -order, we deduce that  $E \cap C_i = C_0$ . It follows from this  $EC_i/C_i \cong E/C_0$ , and in particular  $|EC_i : C_i| = e$ .

By [D, Theorem 1, Part 1]  $B$  has  $e$  irreducible Brauer characters, listed as  $\chi_1, \dots, \chi_e$ . Each  $b_i$  has inertial index 1. So  $b_i$  has a unique irreducible Brauer character, denoted  $\varphi_i$ .

From the above discussion there are  $|N_i : EC_i| = \frac{|N_i : C_i|}{e}$  distinct blocks of  $C_i$  which induce to  $B$ , namely  $b_i^\tau$  as  $\tau$  ranges over  $N_i/EC_i$ . Also there are  $\frac{p^{a-i}-p^{a-i-1}}{|N_i : C_i|}$  conjugacy classes of  $G$  which contain a generator of  $D_i$ . So  $B$  has  $\frac{p^{a-i}-p^{a-i-1}}{e}$  subsections  $(x, b)$ , with  $D_i = \langle x \rangle$ . A consequence of Brauer's second main theorem [NT, 5.4.13(ii)] is that the number of irreducible characters in a block equals the number of columns in the block.

**Lemma 9.** *A complete set of columns of  $B$  is*

$$(1, \chi_1), \dots, (1, \chi_e), \quad (x_i^{\sigma_i}, \varphi_i^{n_i}), \quad i = 0, \dots, a-1.$$

Here  $x_i$  is a fixed generator of  $D_i$ ,  $\sigma_i$  ranges over a set of representatives for the cosets of the image of  $N_i/C_i$  in  $\text{Aut}(D_{a-i})$  and  $n_i$  ranges over a set of representatives for the cosets of  $EC_i$  in  $N_i$ . In particular  $k(B) = e + \frac{p^a-1}{e}$ .

Let  $\Lambda$  be a set of representatives for the  $\frac{p^a-1}{e}$  orbits of  $E$  on  $\text{Irr}(D)^\times$ . Then

$$(3) \quad \text{Irr}(B) = \{X_1, \dots, X_e\} \cup \{X_\lambda \mid \lambda \in \Lambda\}.$$

Also set  $X_0 := \sum_{\lambda \in \Lambda} X_\lambda$ . Dade refers to the  $X_\lambda$  as the exceptional characters of  $B$ .

Notice that as  $\ell(b_i) = 1$ ,  $b_i$  is real if and only if  $\varphi_i$  is real. The next two propositions are relatively elementary.

**Proposition 10.** *All the blocks  $b_0, b_1, \dots, b_{a-1}$  are real or none of them are real.*

*Proof.* We have  $(b_i^o)^G = B^o = B$ . So  $(D, b_0)$  and  $(D, b_0^o)$  are Sylow  $B$ -subpairs, and there is  $n \in N_0$  such that  $b_0^o = b_0^n$ .

Suppose that  $b_j$  is real, for some  $j = 0, \dots, a-1$ . As  $(D_j, b_j^n), (D_j, b_j^o) \subset (D_0, b_0^o)$ , it follows from (2) that  $b_j^n = b_j^o = b_j$ . So  $n \in N(D_j, b_j) = EC_j$ . Write  $n = ec$ , where  $e \in E$  and  $c \in C_j$ . Then  $c = e^{-1}n \in C_j \cap N_0$  and  $b_0^c = b_0^n = b_0^o$ . So  $c^2 \in C_j \cap E = C_0$ . But  $C_j \cap N_0/C_0$  has odd order, as it is a  $p$ -group. So  $c \in C_0$ , which shows that  $n \in E$ . As  $b_0^n = b_0$ , it follows that  $b_0$  is real.

Now let  $i = 0, \dots, a-1$ . Then  $(D_i, b_i), (D_i, b_i^o) \subset (D_0, b_0) = (D_0, b_0^o)$ . So  $b_i = b_i^o$ , for  $i = 0, \dots, a-1$ , using (2). This shows that all  $b_0, \dots, b_{a-1}$  are real.  $\square$

We showed in [M3, 1.1] that the number of real irreducible characters in a block equals the number of real columns in the block. Here  $(x, \varphi)$  is real if  $x^g = x^{-1}$  and  $\varphi^g = \overline{\varphi}$ , for some  $g \in G$ .

Let  $i = 0, \dots, a-1$ . As  $b_i$  has inertial index 1, it has  $|D|$  irreducible characters. Modifying [D, p26] we use the notation

$$(4) \quad \text{Irr}(b_i) = \{X'_{i,\lambda} \mid \lambda \in \text{Irr}(D)\}.$$

Here  $X'_{i,1}$  is the unique non-exceptional character in  $b_i$ , and all characters  $X'_{i,\lambda}$  with  $\lambda \neq 1$  are exceptional. Suppose that  $b_i$  is real. The columns of  $b_i$  are  $(d, \varphi_i)$ , for  $d \in D$ . As  $C_i$  acts trivially on the columns, the only real column is  $(1, \varphi_i)$ . So  $X'_{i,1}$  is the only real irreducible character in  $b_i$ .

We will refine the next result in part (i) of Theorem 1:

**Proposition 11.** *All exceptional characters in  $B$  are real or none are real.*

*Proof.* It follows from Corollary 7 and Lemma 9 that the number of real exceptional characters in  $B$  equals the number of real columns  $(x, \varphi)$  with  $x \in D^\times$  and  $\varphi \in \text{IBr}(C_G(x))$ .

Suppose that  $B$  has a real exceptional character, and let  $(x, \varphi)$  be a real column of  $B$ , with  $x \in D^\times$ . Then  $\langle x \rangle = D_i$ , for some  $i = 0, \dots, a-1$ . As  $N_i/C_i$  is abelian, the columns  $(x', \varphi_i^{n_i})$  are real, for all generators  $x'$  of  $D_i$  and all  $n_i \in N_i$ . In particular  $(x_i, \varphi_i)$  is a real column. Choose  $n \in N_i$  such that  $x_i^n = x_i^{-1}$  and  $\varphi_i^n = \overline{\varphi_i}$ . We may suppose that  $n^2 \in C_i$ .

Suppose first that  $b_i$  is real. As  $\varphi_i = \overline{\varphi_i}$ ,  $n$  fixes  $\varphi_i$  and inverts  $D_i$ . So  $nC_i$  is an involution in  $EC_i/C_i$ . As  $EC_i/C_i \cong E/C_0$ , we may assume without loss that  $nC_0$  is an involution in  $E/C_0$ . Now all the blocks  $b_0, \dots, b_{a-1}$  are real. Hence all  $\varphi_0, \dots, \varphi_{a-1}$  are real. As  $n$  inverts  $D_j$  and fixes  $\varphi_j$ , all columns  $(x_j, \varphi_j)$  are real. Thus all columns  $(x, \varphi)$ , with  $x \in D^\times$ , are real. So all exceptional characters in  $B$  are real in this case.

Conversely, suppose that  $b_i$  is not real. As  $nC_i$  is the unique involution in  $N_i/C_i$ , but  $n \notin EC_i$ , it follows that  $|EC_i : C_i| = e$  is odd. Now  $(D, b_0)$  and  $(D, b_0^o)$  are Sylow  $B$ -subpairs, but  $b_0 \neq b_0^o$ . So there is  $m \in N_0 \setminus E$  such that  $b_0^m = b_0^o$ . As  $m^2 \in E$  and  $|E : C_0|$  is odd, we may choose  $m$  so that  $m^2 \in C_0$ . Then  $mC_0$  is the unique involution in  $N_0/C_0$ . In particular  $m$  inverts every element of  $D$ . Let  $j = 0, \dots, a-1$ . Then  $(D_j, b_j^m)$  and  $(D_j, b_j^o)$  are  $B$ -subpairs contained in  $(D, b_0^o)$ . So  $b_j^m = b_j^o$  and thus  $(d_j, \varphi_j)^m = (d_j^{-1}, \overline{\varphi_j})$ . It follows that all exceptional characters in  $B$  are real in this case also.  $\square$

Examination of the proof shows that:

**Corollary 12.** *All exceptional characters in  $B$  are real if and only if  $b_0$  is real and  $e$  is even, or  $b_0$  is not real and  $e$  is odd.*

We need some additional notation. Set  $\Lambda_u := \{\lambda \in \Lambda \mid \ker(\lambda) = D_u\}$ , for  $u = 1, \dots, a$ . So  $|\Lambda_u| = \frac{p^u - p^{u-1}}{e}$ . Now choose  $\lambda \in \Lambda_u$  and set

$$\epsilon_u := \epsilon(X_\lambda).$$

Note that  $X_\lambda$  and  $X_\mu$  are Galois conjugates, for all  $\lambda, \mu \in \Lambda_u$  (this follows from [D, part 2 of Theorem 1 and Corollary 1.9]). So  $\epsilon_u$  does not depend on  $\lambda$ .

Recall our notation (4) for the irreducible characters  $X'_{i,\lambda}$  in  $b_i$ . As already noted,  $X'_{i,1}$  is the only possible real irreducible character in  $b_i$ . We set

$$\nu_i := \epsilon(X'_{i,1}), \quad \text{for } i = 0, \dots, a-1.$$

Now let  $i = 0, \dots, a-1$  and choose  $x \in D_i - D_{i+1}$  and  $\rho \in N_i$ . According to [D, Theorem 1, Part 3] there are signs  $\epsilon'_0, \epsilon_0, \epsilon_1, \dots, \epsilon_e$  and  $\gamma_i$  such that

$$\begin{aligned} d_{X_\lambda, \varphi_i}^{(x)} &= \epsilon_0 \gamma_i \sum_{\tau \in EC_i/C_i} \lambda(\rho^\tau x), & d_{X_j, \varphi_i}^{(x)} &= \epsilon_j \gamma_i, \quad \text{for } j = 1, \dots, e \\ d_{X'_{i,\lambda}, \varphi_i}^{(x)} &= \epsilon'_0 \gamma_i \lambda(\rho x), & d_{X'_{i,1}, \varphi_i}^{(x)} &= 1 \end{aligned}$$

Here  $EC_i/C_i$  is a set of representatives for the cosets of  $C_i$  in  $EC_i$ . Note that Feit uses the notation  $\delta_0 = -\epsilon_0$  and  $\delta_j = \epsilon_j$ , for  $j = 1, \dots, e$ . Now let  $i = 0, \dots, a-1$  and  $x \in D_i - D_{i+1}$ . Then it follows from [D, Corollary 1.9] that  $X_j(x) = |N_i : EC_i| \varphi_i(1) \delta_j \gamma_i$ . So  $\delta_j \gamma_i$  is the sign of the integer  $X_j(x)$ .

There is a nice relationship between the signs  $\epsilon_0, \epsilon_1, \dots, \epsilon_e$  and the Brauer tree of  $B$ . Suppose that  $j$  and  $k$  are adjacent vertices in the Brauer tree. Then  $X_j + X_k$  is a principal indecomposable character of  $G$ . So it vanishes on  $D^\times$ , and hence  $\delta_j + \delta_k = 0$  (see [F, V11, Section 9]). So suppose that there are  $d_j$  edges between the vertex  $j$  and the exceptional vertex 0 in the Brauer tree. Then  $\delta_j = (-1)^{d_j} \delta_0$ . So  $\epsilon_j = (-1)^{d_j-1} \epsilon_0$ , for  $j = 1, \dots, e$ .

We now prove part (i) of our main theorem. But note that this proof does not depend on Propositions 10 and 11:

*Proof of part (i) of Theorem 1.* Applying (1), with  $\rho \in N_i$  and  $x \in D_i - D_{i+1}$ , we get

$$\sum_{j=1}^e \epsilon(X_j) \epsilon_j \gamma_i + \sum_{\lambda \in \Lambda} \epsilon(X_\lambda) \epsilon_0 \gamma_i \sum_{\tau \in EC_i/C_i} \lambda(\rho^\tau x) = \nu_i.$$

Now set  $\sigma := \epsilon_0 \sum_{j=1}^e \epsilon(X_j) \epsilon_j$ . So  $\sigma$  is independent of  $i, \rho$  and  $x$ . Then the above equality transforms to

$$\sum_{u=1}^a \epsilon_u \sum_{\lambda \in \Lambda_u} \sum_{\tau \in EC_i} \lambda(\rho^\tau x) = \epsilon_0 \gamma_i \nu_i - \sigma,$$

where the right hand side is independent of  $\rho$  and  $x$ . Let  $\rho$  range over a set of representatives for the  $\frac{|N_i:C_i|}{e}$  cosets of  $EC_i$  in  $N_i$  and let  $x$  range over a set of representatives for the  $\frac{p^{a-i} - p^{a-i-1}}{|N_i:C_i|}$  orbits of  $N_i$  on the generators of  $D_i$ . Then  $\rho^\tau x$  will range over all generators of  $D_i$ . Summing the resulting equalities gives

$$\sum_{u=1}^a \epsilon_u \sum_{\lambda \in \Lambda_u} \sum_{x \in D_i - D_{i+1}} \lambda(x) = \left( \frac{p^{a-i} - p^{a-i-1}}{e} \right) (\epsilon_0 \gamma_i \nu_i - \sigma).$$

We use  $|\Lambda_u| = \frac{p^u - p^{u-1}}{e}$  and Lemma 5 to transform this equality to

$$(p^{a-i} - p^{a-i-1}) \sum_{u=1}^i \frac{p^u - p^{u-1}}{e} \epsilon_u - p^{a-i-1} \frac{p^{i+1} - p^i}{e} \epsilon_{i+1} = \frac{p^{a-i} - p^{a-i-1}}{e} (\varepsilon_0 \gamma_i \nu_i - \sigma).$$

After cancelling the factor  $\frac{p^{a-i-1}(p-1)}{e}$ , we get

$$(5) \quad \sum_{u=1}^i (p^u - p^{u-1}) \epsilon_u - p^i \epsilon_{i+1} = \varepsilon_0 \gamma_i \nu_i - \sigma.$$

Here  $\sum_{u=1}^0 (p^u - p^{u-1}) \epsilon_u$  is taken to be 0, when  $i = 0$ . We write down the equalities (5) for  $i = 0, 1, 2, \dots$  in turn:

$$(6) \quad \begin{aligned} -\epsilon_1 &= \varepsilon_0 \gamma_0 \nu_0 - \sigma \\ (p-1)\epsilon_1 - p\epsilon_2 &= \varepsilon_0 \gamma_1 \nu_1 - \sigma \\ (p-1)\epsilon_1 + (p^2 - p)\epsilon_2 - p^2\epsilon_3 &= \varepsilon_0 \gamma_2 \nu_2 - \sigma \\ (p-1)\epsilon_1 + (p^2 - p)\epsilon_2 + (p^3 - p^2)\epsilon_3 - p^3\epsilon_4 &= \varepsilon_0 \gamma_3 \nu_3 - \sigma \\ &\vdots \\ (p-1)\epsilon_1 + (p^2 - p)\epsilon_2 + \dots + (p^{a-1} - p^{a-2})\epsilon_{a-1} - p^{a-1}\epsilon_a &= \varepsilon_0 \gamma_{a-1} \nu_{a-1} - \sigma \end{aligned}$$

Subtract the first equality from the second to get

$$p(\epsilon_1 - \epsilon_2) = \varepsilon_0(\gamma_1 \nu_1 - \gamma_0 \nu_0).$$

The left hand side equals  $-p, 0$  or  $p$  and the right hand equals  $-2, 0$  or  $2$ . As  $p$  is odd, the common value is 0. So  $\epsilon_2 = \epsilon_1$  and  $\gamma_1 \nu_1 = \gamma_0 \nu_0$ . Substitute these values back into all equations in (6). Now subtract the first from the third equality to get

$$p^2(\epsilon_1 - \epsilon_3) = \varepsilon_0(\gamma_2 \nu_2 - \gamma_0 \nu_0).$$

Once again both sides are 0. So  $\gamma_2 \nu_2 = \gamma_0 \nu_0$  and  $\epsilon_3 = \epsilon_1$ . Proceeding in this way, we get

$$\epsilon_1 = \epsilon_2 = \dots = \epsilon_a, \quad \gamma_0 \nu_0 = \gamma_1 \nu_1 = \dots = \gamma_{a-1} \nu_{a-1}.$$

□

Following the above proof, and the discussion before the proof, we obtain:

**Corollary 13.** *Suppose that  $b_0$  is real and let  $D = \langle x \rangle$ . Then for each  $i = 0, \dots, a-1$  and  $j = 0, \dots, e$ , the integer  $X_j(x^{p^i})X_j(x)$  has sign  $\epsilon(X'_{i,1})\epsilon(X'_{0,1})$ .*

There is no apparent relationship between the F-S indicators  $\nu_0, \dots, \nu_{a-1}$ :

**Example:** The 2-nilpotent group  $G = \langle a, b, c \mid a^4, a^2 = b^2, a^b = a^{-1}, c^9, a^c = b, b^c = ab \rangle$  has isomorphism type 3. SL(2, 3). Set  $D = \langle c \rangle$ . Then  $D$  is cyclic of order 9, with  $C_0 = D \times \langle a^2 \rangle$  and  $C_1 = G$ . Let  $\theta$  be the non-trivial irreducible character of  $C_0/D$ , and let  $b_0$  be the 3-block of  $C_0$  which contains  $\theta$ . Then  $\theta = X'_{0,1}$  is the unique non-exceptional character

in  $b_0$ . So  $\nu_0 = \epsilon(X'_{0,1}) = +1$ . Set  $b_1 = b_0^G$ . Then  $b_1$  also has a unique non-exceptional character  $X'_{1,1}$ . But now  $\nu_1 = \epsilon(X'_{1,1}) = -1$ , as  $X'_{1,1}$  restricts to the non-linear irreducible character of  $\langle a, b \rangle \cong Q_8$ .

This example arises from the fact that the Glauberman correspondence [NT, 5.12] does not preserve the F-S indicators of characters.

*proof of part (iii) of Theorem 1.* This is an immediate consequence of Lemma 8 and part (i) of Theorem 1.  $\square$

Consider the real-stem of  $B$  as a horizontal line segment with  $s$  vertices and  $s-1$  edges, where  $s \geq 1$ . We label the vertices using an interval  $[-\ell, \dots, -2, -1, 0, 1, 2, \dots, r]$  so that 0 labels the exceptional vertex. Thus  $s = r + \ell + 1$ , and there are  $\ell$  real non-exceptional characters on the left of the exceptional vertex, and  $r$  on the right (the choice of left and right is unimportant).

As above,  $X_0$  is the sum of the exceptional characters in  $B$ . Now we relabel the non-exceptional characters in  $B$  so that  $X_i$  is the real non-exceptional character corresponding to vertex  $i$ , for  $i = -\ell, \dots, r$  and  $i \neq 0$ . In view of parts (i) and (ii) of Theorem 1 there are signs  $\epsilon_{\pm}$  such that

$$\epsilon(X_i) = \begin{cases} \epsilon_-, & \text{for } i = -\ell, \dots, -1. \\ \epsilon_0, & \text{for } i = 0. \\ \epsilon_+, & \text{for } i = 1, \dots, r. \end{cases}$$

Next let  $\sigma$  be a generator of  $D$ . It follows from [D, Corollary 1.9] that  $X_0(\sigma) = -\varepsilon_0\gamma_0|N_0 : E|\varphi_0(1)$ . So  $X_i(\sigma) = (-1)^i X_0(\sigma)$ , as  $X_i + X_{i+1}$  is a projective character of  $G$ , for  $i = -\ell, \dots, r-1$  (see [F, VII,2.19(ii)]).

Recall from Section (5) that there are  $|N_0 : E|$  blocks of  $C_0$  which induce to  $B$ ; these are the blocks  $b_0^\tau$ , where  $\tau$  ranges over  $N_0/E$ . We note also that  $X'_{0,1}(\tau\sigma) = \varphi_0(1)$ . Now [B, Theorem(4B)] is an immediate consequence of [B, Theorem(4A)]. In our context, this states that

$$\sum_{i=-\ell}^r \epsilon(X_i)X_i(\sigma) = |N_0 : E|\epsilon(X'_{0,1})X'_{0,1}(\sigma).$$

In view of the previous paragraph this simplifies to

$$(7) \quad \sum_{i=1}^{\ell} (-1)^i \epsilon_- + \epsilon_0 + \sum_{i=1}^r (-1)^i \epsilon_+ = -\varepsilon_0\gamma_0\nu_0.$$

We consider a number of cases.

Suppose first that  $\epsilon_0 \neq 0$ . Then  $\epsilon_- = \epsilon_0 = \epsilon_+$ , by part (iii) of Theorem 1. So (7) becomes

$$(8) \quad -\varepsilon_0\gamma_0\nu_0\epsilon_0 = \begin{cases} (-1)^\ell, & \text{if } s \text{ is odd.} \\ 0, & \text{if } s \text{ is even.} \end{cases}$$

In particular  $b_0$  is not real if  $s$  is even. As  $e$  is odd when  $s$  is even, this already follows from Corollary 12.

Suppose then that  $\epsilon_0 = 0$ . Now (7) evaluates as

$$(9) \quad -\epsilon_0 \gamma_0 \nu_0 = \begin{cases} \epsilon_-, & \text{if } \ell \text{ is odd and } r \text{ is even.} \\ \epsilon_- + \epsilon_+, & \text{if } \ell \text{ and } r \text{ are both odd.} \\ \epsilon_+, & \text{if } \ell \text{ is even and } r \text{ is odd.} \\ 0, & \text{if } \ell \text{ and } r \text{ are both even.} \end{cases}$$

*proof of part (iv) of Theorem 1.* The hypothesis is that  $\epsilon_0 = 0$ , at least one of  $\epsilon_-, \epsilon_+$  is not zero and  $\ell \equiv r \equiv 1 \pmod{2}$ . Now  $B$  has  $e$  non-exceptional characters, of which  $\ell + r$  are real-valued. So  $e \equiv \ell + r$  is even. Then  $b_0$  is not real, according to Corollary 12. This in turn implies that  $\nu_0 = 0$ . So  $\epsilon_- + \epsilon_+ = 0$ , according to (9). We conclude that  $\epsilon_- \epsilon_+ = -1$ , which gives the conclusion of (iv).  $\square$

## 6. PASSING FROM $B$ TO ITS CANONICAL CHARACTER

Let  $i = 0, \dots, a-1$ . Then  $N_i$  contains the normalizer  $N_0$  of  $D$  in  $G$ . So by Brauer's first main theorem there is a unique  $p$ -block  $B_i$  of  $N_i$  such that  $B_i^G = B$ . As  $(B_i^o)^G = B^o = B$ , the uniqueness forces  $B_i^o = B_i$ . Now  $B_i$  has defect group  $D$  and inertial index  $e = |EC_i : C_i|$ . So  $\ell(B_{a-1}) = e$  and  $k(B_{a-1}) = e + \frac{p^a - 1}{e}$ . We first consider the block  $B_{a-1}$  of the largest subgroup  $N_{a-1}$ . Following [D, Section 7], write

$$\text{IBr}(B_{a-1}) = \{\tilde{\chi}_1, \dots, \tilde{\chi}_e\}, \quad \text{Irr}(B_{a-1}) = \{\tilde{X}_1, \dots, \tilde{X}_e\} \cup \{\tilde{X}_\lambda \mid \lambda \in \Lambda\},$$

and set  $\tilde{X}_0 = \sum \tilde{X}_\lambda$ .

**Proposition 14.** *The exceptional characters in  $B$  and  $B_{a-1}$  have the same F-S indicators.*

*Proof.* Suppose first that  $|\Lambda| \geq 2$ . According [D, (7.2)] there is a sign  $d$  such that

$$(\tilde{X}_\lambda - \tilde{X}_\mu)^G = d(X_\lambda - X_\mu), \quad \text{for all } \lambda, \mu \in \Lambda.$$

It follows that  $\langle \tilde{X}_\lambda, X_\lambda \rangle$  or  $\langle \tilde{X}_\mu, X_\lambda \rangle$  is odd. So in view of part (i) of Theorem 1, the conclusion holds in this case.

From now on we suppose that  $|\Lambda| = 1$ . Then  $E$  has a single orbit on  $\text{Irr}(D)^\times$ , which forces  $|D| = p$  and  $e = p - 1$ . As  $\tilde{X}_0$  is the unique exceptional character in  $B_{a-1}$ , it is real valued. Then it follows from part (iii) of Theorem 1 that all real irreducible characters in  $B_{a-1}$  have the same F-S indicators.

Now by [D, (7.3), (7.8), first two paragraphs of p40], there is a sign  $\epsilon'_0$  such that

$$(\tilde{X}_0 - \sum_{i=1}^{p-1} \tilde{X}_i)^G = \epsilon'_0 \sum_{i=0}^{p-1} \epsilon_i X_i.$$

Here  $\epsilon_0, \dots, \epsilon_{p-1}$  are as introduced earlier and  $X_0$  can be chosen to be real, as  $p$  is odd. Taking inner-products of characters, and reading modulo 2, we see that  $\langle \tilde{X}_i^G, X_0 \rangle$  is odd, for some real  $\tilde{X}_i$ . So  $\epsilon(\tilde{X}_i) = \epsilon(X_0)$ . Then by the previous paragraph  $\epsilon(\tilde{X}_0) = \epsilon(X_0)$ .  $\square$

**Proposition 15.** *All exceptional characters in  $B_0, \dots, B_{a-1}$  and  $B$  have the same F-S indicators.*

*Proof.* We prove this by induction on  $|D|$ . The base case  $|D| = p$  holds, by Proposition 14. Suppose that  $|D| > p$ . We assume that the conclusion holds for all  $p$ -blocks with a cyclic defect group of order strictly less than  $|D|$ .

We use the bar notation for subgroups and objects associated with the quotient group  $N_{a-1}/D_{a-1}$ . Let  $i = 0, \dots, a-1$ . Then  $\overline{N}_i$  is the normalizer of  $\overline{D}_i$  in  $\overline{N}_{a-1}$ . As  $C_i$  centralizes  $D_{a-1}$ , Theorem 5.8.11 of [NT] shows that  $b_i$  dominates a unique block  $\overline{b}_i$  of  $\overline{C}_i$ . Moreover  $\overline{b}_i$  has cyclic defect group  $\overline{D}$ . Now  $b_i$  has the unique irreducible Brauer character  $\varphi_i$ , and we can and do identify  $\varphi_i$  with the unique irreducible Brauer character in  $\overline{b}_i$ . Then the inertia group of  $\overline{b}_i$  in  $\overline{N}_i$  is the inertia group of  $\varphi_i$  in  $\overline{N}_i$ , which is  $\overline{EC}_i$ .

According to [D, Section 4], there is a unique  $p$ -block of  $N_i$ , denoted here by  $\overline{B}_i$ , which lies over  $\overline{b}_i$ . Moreover  $\overline{B}_i$  has cyclic defect group  $\overline{D}$ . As inflation and induction of characters commute, this block is dominated by  $B_i$ . Now  $B_i$  and  $\overline{B}_i$  have the same inertial index as  $|EC_i : C_i| = |\overline{EC}_i : \overline{C}_i|$ . So by inflation  $\text{IBr}(\overline{B}_i) = \text{IBr}(B_i)$ . In particular  $\overline{B}_i$  is the unique block of  $\overline{N}_i$  that is dominated by  $B_i$ . Also by inflation  $\text{Irr}(\overline{B}_i) \subseteq \text{Irr}(B_i)$ .

As  $|\overline{D}| < |D|$ , all exceptional characters in  $\overline{B}_0, \dots, \overline{B}_{a-1}$  have the same F-S indicators, by our inductive hypothesis. But the inclusion  $\text{Irr}(\overline{B}_i) \subseteq \text{Irr}(B_i)$  identifies the exceptional characters in  $\overline{B}_i$  with exceptional characters in  $B_i$ . It now follows from part (i) of Theorem 1 that all exceptional characters in  $B_0, \dots, B_{a-1}$  have the same F-S indicators.  $\square$

Recall that  $b_0$  has a unique irreducible Brauer character  $\varphi_0$ . This is the canonical character of  $B$ , in the sense of [NT, 5.8.3]. For the next theorem, we simplify the notation of (4) for the irreducible characters in  $b_0$  by writing  $\chi_\lambda$  in place of  $X'_{0,\lambda}$ , for all  $\lambda \in \text{Irr}(D)$ . Then according to W. Reynolds [NT, 5.8.14], for  $c \in C_0$  we have

$$(10) \quad \chi_\lambda(c) = \begin{cases} \lambda(c_p)\varphi_0(c'_p), & \text{if } c_p \in D. \\ 0, & \text{if } c_p \notin D. \end{cases}$$

Then  $\text{Irr}(b_0) = \{\chi_\lambda \mid \lambda \in \text{Irr}(D)\}$ . Notice that  $\chi_1$  is the unique irreducible character in  $b_0$  whose kernel contains  $D$ .

**Theorem 16.** *Suppose that  $B$  has a real exceptional character. Then  $N_0/C_0$  has a unique subgroup  $T/C_0$  of order 2, and all exceptional characters in  $B$  have F-S indicator equal to the Gow indicator  $\epsilon_{T/C_0}(\chi_1)$ .*

*Proof.* Recall that  $B$  has a real exceptional character if  $b_0$  is real and  $e$  is even, or if  $b_0$  is not real and  $e$  is odd. In both these cases  $|N_0 : C_0|$  is even. As  $N_0/C_0$  is also cyclic, it has a unique subgroup  $T/C_0$  of order 2.

In view of Proposition 15, we may assume that  $G = N_0$ . So  $B = B_0$ ,  $D$  and  $C_0$  are normal subgroups of  $G$  and  $E$  is the stabilizer of  $b_0$  in  $G$ . Then  $\Lambda$  is a set of representatives for the orbits of  $N_0$  on  $\text{Irr}(D)^\times$ . Set  $E^*$  as the stabilizer of  $\{b_0, b_0^o\}$  in  $G$ . Clifford correspondence defines a bijection between the irreducible characters of  $E^*$  which lie over  $b_0$  and the irreducible characters in  $B$ . This bijection preserves reality, and hence F-S indicators. So from now on we assume that  $G = E^*$ .

As  $\chi_1$  is invariant in  $E$  and  $E/C_0$  is cyclic,  $\chi_1$  has  $e$  extensions to  $E$ , which we denote by  $\eta_1, \dots, \eta_e$ . Then  $X_i := \eta_i^G$ , for  $i = 1, \dots, e$ , give the  $e$  non-exceptional characters in  $B$ . Moreover  $X_\lambda := \chi_\lambda^G$ , for all  $\lambda \in \Lambda$ , give the exceptional characters in  $B$ .

Following Corollary 12, there are three cases we must consider:

**Case 1:**  $b_0$  is real,  $e$  is even and  $B$  has real non-exceptional characters. Then according to part (iii) of Theorem 1 all real irreducible characters in  $B$  have the same F-S indicators. We choose notation so that  $X_1$  is real. As  $X_1 \downarrow_T$  is a real extension of  $\chi_1$  to  $T$ , it follows that  $\epsilon(X_1) = \epsilon(X_1 \downarrow_T) = \epsilon_{T/C_0}(\chi_1)$ . This concludes Case 1.

**Case 2:**  $b_0$  is real,  $e$  is even but  $B$  has no real non-exceptional characters. As  $\chi_1$  does not extend to a real character of  $E$ , it does not extend to a real character of  $T$ , according to Lemma 4. So  $\epsilon_{T/C_0}(\chi_1) = -\epsilon(\chi_1)$ , by the definition of the Gow indicator.

Now consider the notation used in the proof of part (i) of Theorem 1. Here  $C_i = C_0$  and  $\varphi_i = \varphi_0$  and  $X'_{i,1} = \chi_1$ , for  $i = 0, \dots, a-1$ . If  $\lambda \in \Lambda$  then  $(X_\lambda) \downarrow_{C_0} = \sum_{\tau \in G/C_0} \chi_\lambda^\tau$ . So  $d_{X_\lambda, \varphi_i}^{(x)} = \sum_{\tau \in G/C_0} \lambda(\tau x)$ , for all  $x \in D^\times$ . This means that  $\varepsilon_0 \gamma_i = 1$ , for  $i = 0, \dots, a-1$ . Now in (6), the term  $\sigma$  is 0, as none of  $X_1, \dots, X_e$  are real. So the first equation in (6) simplifies here to  $-\epsilon(X_\lambda) = \epsilon(\chi_1)$ , for all  $\lambda \in \Lambda_1$ . So  $\epsilon(X_\lambda) = \epsilon_{T/C_0}(\chi_1)$ , for all  $\lambda \in \Lambda$ , by the previous paragraph and Proposition 15.

**Case 3:** The final case is that  $b_0$  is not real and  $e$  is odd. As  $B$  has an odd number  $e$  of non-exceptional characters, at least one of them must be real valued. So we assume that  $X_1$  is real. Then, just as in Case 1, all real irreducible characters in  $B$  have the same F-S indicators.

As  $|E : C_0|$  is odd and  $|G : E| = 2$ , we have  $G/C_0 = E/C_0 \times T/C_0$ . Now  $T/C_0$  conjugates  $\text{Irr}(b_0)$  into  $\text{Irr}(b_0^e)$ . So  $\chi_1$  is  $T$ -conjugate to  $\bar{\chi}_1$ . In particular  $\chi_1 \uparrow^T$  is irreducible and real valued. Now  $X_1 = (\eta_1) \uparrow^G$  and  $(\eta_1) \downarrow_{C_0} = \chi_1$ . So  $(X_1) \downarrow_T = (\chi_1) \uparrow^T$ , by Mackey's theorem.

Now from above  $\epsilon(X_\lambda) = \epsilon(X_1)$ , for all  $\lambda \in \Lambda$ . Also  $\epsilon(X_1) = \epsilon((X_1) \downarrow_T)$ , as both are real valued. Finally  $\epsilon((X_1) \downarrow_T) = \epsilon_{T/C_0}(\chi_1)$ , by the definition. This completes Case 3.  $\square$

Finally, we prove the application to ordinary characters as stated in the Introduction:

*Proof of Theorem 2.* Let  $x$  be a weakly real  $p$ -element of  $G$  of maximal order and set  $Q := \langle x \rangle$  and  $N := N_G(Q)$ . Let  $\lambda$  be a faithful linear character of  $Q$ . Then  $N_\lambda = C_N(x)$  and  $N_\lambda^* = C_N^*(x)$ . So  $N_\lambda^*$  does not split over  $N_\lambda$ . By Lemma 3 there exists  $\chi \in \text{Irr}(N \mid \lambda)$  such that  $\epsilon(\chi) = -1$ .

Let  $\tilde{B}$  be the  $p$ -block of  $N$  which contains  $\chi$  and let  $D$  be a defect group of  $\tilde{B}$ . Then  $Q \subseteq D$  and  $N_G(D) \subseteq N$ . In particular  $B := \tilde{B}^G$  is defined and  $B$  has defect group  $D$ . So  $Q = D_i$ ,  $N = N_i$  and  $\tilde{B} = B_i$  for some  $i \geq 0$ , in cyclic defect group notation.

Notice that  $\lambda$  is non-trivial. So  $D \not\subseteq \ker(\chi)$ . This means that  $\chi$  is an exceptional character in  $B_i$ . So all exceptional characters in  $B_i$ , and hence also in  $B$ , are symplectic. The number of exceptional characters in  $B$  is  $\frac{|D|-1}{e}$ , where  $e$  is the inertial index of  $B$ . The number of weakly real  $p$ -conjugacy classes of  $G$  is equal to the number of  $N$ -orbits on  $Q^\times$ , which equals  $\frac{|D_i|-1}{|N_i : C_i|}$ . As  $|D_i| \leq |D|$  and  $e \leq |N_i : C_i|$ , we conclude that the

number of symplectic irreducible characters of  $G$  is not less than the number of weakly real  $p$ -conjugacy classes of  $G$ .  $\square$

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